Ronak Mansukhlal Shah
AMFI Registered Mutual Fund Distributor | ARN-11782

RISK PROFILING FORM

Please answer every question. This tells us how much risk suits you, so that we suggest only schemes that fit you.

Full Name: ______________________________ Date: _____ / _____ / __________

PAN: ___________________ Mobile: ____________________

Email: _______________________________ **Income:** ☐ < Rs 5 lakh ☐ Rs 5–25 lakh ☐ > Rs 25 lakh

Question	a (5)	b (4)	c (3)	d (2)	e (1)
1. What is your age?	☐ Above 60 years	☐ 51 to 60 years	☐ 41 to 50 years	☐ 31 to 40 years	☐ Up to 30 years
2. How many people depend on your income?	☐ 4 or more	☐ 3	☐ 2	☐ 1	☐ None
3. How long will you normally stay invested?	☐ Till I reach my goal	☐ 1 to 2 years	☐ 3 to 5 years	☐ 5 to 7 years	☐ 7 years or more
4. What is your main aim when you invest?	☐ Keep my money safe	☐ Returns equal to inflation	☐ Returns above inflation	☐ Invest for a goal	☐ Invest for a set period
5. If you invest Rs 100 today, what value after 5 years are you comfortable with?	☐ Rs 115 to Rs 130	☐ Rs 105 to Rs 155	☐ Rs 99 to Rs 175	☐ Rs 90 to Rs 200	☐ Rs 85 to Rs 225
6. In a normal market, how do you feel about a fall in value?	☐ I find any loss hard to take	☐ I must see at least a small gain	☐ I can take a small loss	☐ I can take a loss	☐ I do not mind a loss

Total score
(add the marks of the six ticks) _______ **out of 30**

SUITABILITY MATRIX

Risk profile (score)	Profile	Scheme categories we may suggest	Riskometer allowed
Conservative (25-30)	You want to keep your money safe with steady, modest returns.	Overnight, Liquid, Money Market, Ultra Short and Low Duration, Short Duration, Corporate Bond, Banking and PSU Debt, Gilt, Arbitrage, Conservative Hybrid, Equity Savings	Low, Low to Moderate, Moderate
Moderate (17–24)	You want growth but with limited ups and downs.	All Conservative categories, plus Balanced Advantage, Multi Asset, Aggressive Hybrid, Large Cap, Large and Mid-Cap, Index Funds and ETF Fund of Funds, Gold and Silver Fund of Funds, Solution Oriented (Retirement, Children)	Up to High
Aggressive (6-16)	You can accept big ups and downs for higher long-term growth.	All Moderate categories, plus Flexi Cap, Multi Cap, Mid Cap, Small Cap, Focused, Value and Contra, Dividend Yield, ELSS, Sectoral and Thematic, International Fund of Funds	Up to Very High

RISK PROFILE DECLARATION, RISK DISCLOSURE AND COMMISSION DISCLOSURE

I confirm that:

1. The details I gave in the Risk Profiling Form are true. My risk profile has been explained to me and I understand it.
2. Mutual fund investments are subject to market risks. Returns are not guaranteed. Past performance does not tell me future returns. I may lose part of my capital.
3. The scheme documents (SID, SAI, KIM) and the risk factors have been made available to me before I invest.
4. Ronak Mansukhlal Shah (ARN-11782) is a mutual fund distributor, not an investment adviser. He receives trail commission from the AMC on Regular Plans. It is part of the scheme's Base Expense Ratio (BER) and does not add to what I pay. No fee is charged to me. Scheme wise rates are on www.ronakmshah.com. I may also invest in Direct Plans directly with the AMC.
5. If I choose a scheme above my risk profile, I will get a written note that it does not suit me, and the order will go only on my written confirmation.
6. Every investment decision is my own. My personal data will be used only for my investments, as per the Digital Personal Data Protection Act, 2023.

Investor name: ______________________________

Signature: ______________________

Place: ______________ Date: ____________

Mutual Fund investments are subject to market risks. Read all scheme related documents carefully before investing.

Note:

- Your score and profile are written on the form and kept in your file.
- We look only at the categories in your row. Within a category we compare schemes on past returns against the benchmark, risk, expense ratio and fund manager record. Commission is never a reason.
- If you ask for a scheme above your row, we send you a written note that it does not suit your profile. The order goes only after you accept that note in writing and confirm the order is your own decision.
- Your profile is checked again once a year, or earlier if your situation changes (job, marriage, retirement, inheritance).
- Any illustration we show uses an assumed rate not above 12% per year, as per AMFI guidelines. It is not a promise.